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IC20 Rec'd PCT/PTO 18 APR 2002

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 92

Application Number	10/030,829
Filing Date	January 11, 2002
First Named Inventor	Christophe Beclin et al.
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	A34920-PCT-USA 072667.0175

**ENCLOSURES (check all that apply)**

- |   |  |  |         |                          |
|---|--|--|---------|--------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>- Substitute Sequence Listing in paper (5 pages) electronic form (1 diskette),<br>- Declaration (4 pages), and ** |         |                          |
| <table border="1"><tr><td>Remarks</td><td><input type="checkbox"/></td></tr></table>  |  |  | Remarks | <input type="checkbox"/> |
| Remarks   | <input type="checkbox"/>   |  |         |                          |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112	
Signature		Att Name: Alicia A. Russo PTO Reg: 46,192
Date	April 11, 2002	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 11, 2002

Typed or printed name	Alicia A. Russo	
Signature		Date April 11, 2002

10 APR 2002

10 APR 2002

**BAKER BOTTS** LLP

Attorney Docket Number: A34920-PCT-USA 072667.0179

Title:

NOVEL SGS3 PLANT GENE AND USES THEREOF

Use Space Below for Additional Information:

- \*\* - English translation of application (62 pages)
- Recordation cover (2 pages)



# FEE TRANSMITTAL

## for FY 2001

*Patent fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT

(S) 712

**Complete if Known**

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## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

02-4377

Baker Botts LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

- ☒ Check   ☐ Credit card   ☐ Money Order   ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Cost of Sales</b>	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.
3. <b>Impairment of Financial Assets</b>	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.
4. <b>Provisions</b>	Provisions are recognized when there is a present obligation as a result of a past event, and the amount of the provision is measured at the best estimate of the amount required to settle the obligation.	Provisions are recognized when there is a present obligation as a result of a past event, and the amount of the provision is measured at the best estimate of the amount required to settle the obligation.
5. <b>Share-based Payments</b>	Share-based payments are recognized when the entity receives services from employees or directors, and the amount of the payment is measured at the fair value of the equity instrument issued.	Share-based payments are recognized when the entity receives services from employees or directors, and the amount of the payment is measured at the fair value of the equity instrument issued.
6. <b>Financial Instruments</b>	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and the instrument is measured at the fair value of the instrument.	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and the instrument is measured at the fair value of the instrument.
7. <b>Leases</b>	Leases are recognized when the entity enters into a contract that gives rise to a lease, and the lease is measured at the present value of the lease payments.	Leases are recognized when the entity enters into a contract that gives rise to a lease, and the lease is measured at the present value of the lease payments.
8. <b>Intangible Assets</b>	Intangible assets are recognized when the entity acquires an intangible asset, and the asset is measured at the cost of the asset.	Intangible assets are recognized when the entity acquires an intangible asset, and the asset is measured at the cost of the asset.
9. <b>Goodwill</b>	Goodwill is recognized when the entity acquires a business, and the goodwill is measured at the difference between the cost of the business and the fair value of the identifiable intangible assets.	Goodwill is recognized when the entity acquires a business, and the goodwill is measured at the difference between the cost of the business and the fair value of the identifiable intangible assets.
10. <b>Provisions for Contingent Liabilities</b>	Provisions for contingent liabilities are recognized when there is a present obligation as a result of a past event, and the amount of the provision is measured at the best estimate of the amount required to settle the obligation.	Provisions for contingent liabilities are recognized when there is a present obligation as a result of a past event, and the amount of the provision is measured at the best estimate of the amount required to settle the obligation.

Fee (\$)	Fee (\$)	Fee Description
740	370	Utility filing fee
330	165	Design filing fee
510	255	Plant filing fee
740	370	Reissue filing fee
160	80	Provisional filing fee

**Fee Paid**

**SUBTOTAL (1)** (\$)**0**

## 2. EXTRA CLAIM FEES

			Extra Claims		Fee from below	Fee Paid
Total Claims	45	- 61 **	= 0	x	18	= 0
Independent Claims	12	- 4 **	= 8	x	84	= 672
Multiple Dependent						

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Leases</b>	Leases are classified as either finance leases or operating leases. Finance leases are recorded as assets and liabilities, while operating leases are recorded as expenses.	Leases are classified as either finance leases or operating leases. Finance leases are recorded as assets and liabilities, while operating leases are recorded as expenses.
3. <b>Goodwill</b>	Goodwill is an intangible asset that arises from the acquisition of a business. It is measured as the excess of the purchase price over the fair value of the identifiable intangible assets.	Goodwill is an intangible asset that arises from the acquisition of a business. It is measured as the excess of the purchase price over the fair value of the identifiable intangible assets.
4. <b>Research and Development</b>	Research and development costs are expensed as incurred.	Research and development costs are expensed as incurred.
5. <b>Impairment</b>	Impairment testing is required for goodwill and other intangible assets. Impairment is recognized when the carrying amount of the asset exceeds its fair value.	Impairment testing is required for goodwill and other intangible assets. Impairment is recognized when the carrying amount of the asset exceeds its fair value.

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$)  
672

*\*\*or number previously paid, if greater; For Reissues, see above*

**FEE CALCULATION** (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(a)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)	40
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## SUBMITTED BY

Name (Print/Type)

Alicia A. Russo

Registration No.  
(Attorney/Agent)

46,192

Complete (if applicable)

Telephone

(212) 408-2500

*Signature*

Date \_\_\_\_\_

April 11, 2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.